

NTA RISK MANAGEMENT PLAN
RISK REGISTER/LOG AND RESPONSE SUMMARY (TOP PRIORITY RISKS), PER PROJECT
CROP YEAR 2013-2014

PROJECT NAME: IFOIGAP-TCGS-TOBACCO & RICE

PROJECT RISK MANAGER: ROBERTO R. BONOAN

Risk Priority	Prob. Of Occur. (1-5)	Impact Level (1-5)	Risk Score	Risk Number	Risk Category	Risk Name	Impact Description	Response Action/Option
1	5	5	25	1.1.2	Nature/Environment	Drought/El Niño/Extreme hot condition (Rice)	Delayed planting/no planting in rainfed areas	Mitigate: Supplemental irrigation; Obtain available weather/climate advisories from PAGASA Broadcast advisories thru OMT radio program, reading materials
2	4	5	20	1.1.1	Nature/Environment	Drought/El Niño/Extreme hot condition (Tobacco)	Stunted growth = low yield/poor quality = low sales/low income = low collection of production assistance	Accept & Mitigate: Provide additional irrigation pumps; provision of additional budget for supplemental irrigation. Transfer: Coordinate with NIA & BSWM for the provision of SWIP
3	3	5	15	5.2	Political/legal	LGU ordinances, laws/ rules & regulations	LGUs' distribution of inputs/ implements on dole-out/free, result to FCs impression that NTA's prod. Asst. are similar grants = non-payment by FC	Mitigate: Conduct dialogue to resolve issues; Information dissemination;
3	3	5	15	5.1	Political/Legal	Intervention by political leaders	Negative intervention of politicians erode NTA credibility and reduce prod.asst. collections	Mitigate: Conduct dialogue to resolve issues; Information dissemination;
5	3	4	12	1.6	Nature/Environment	Freak rains (Tobacco)	Reduced yield and low quality resulting to low sales = low collection of prod. Asst.	Accept: If freak rains occur at harvesting period Mitigate: Provide buffer seeds/seedlings, in case freak rains occur at seedling/ vegetative stage, re-sow

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5	3	4	12	1.4.1	Nature/Environment	Floods (Tobacco)	Damaged crop/Reduced yield and quality resulting to no/low sales = no/low collection of prod. Asst.	Mitigate:	Provide buffer seeds/seedlings; Replant if applicable; Follow remedial measures of curing wet tobacco
7	3	3	9	1.4.2	Nature/Environment	Floods (Rice)	Damaged crop/Reduced yield and quality resulting to no/low sales = no/low collection of prod. Asst.	Mitigate:	If at vegetative stage, open drainage canal
								Accept :	If at harvesting stage
7	3	3	9	3.2	Farmer-Cooperator	Deteriorating values	Deliberate deviation fr.the application of recommended package of technology, polevaulting/ ride-on, dole-out mentality, availment of multiple loans resulting to low/non-collection of production assistance	Mitigate:	Conduct of values orientation to farmer-cooperators; Conduct of orientations to project implementers Strict screening of Farmer-cooperators
9	2	3	6	1.5.1	Nature/Climate/Environment	Soil erosion/siltation (Tobacco)	Reduction of area and volume of prod = low collection	Accept:	If at harvesting period.
								Mitigate:	Provide buffer seeds/seedlings; Replant if applicable; Follow remedial measures of curing wet tobacco Convert non-usable tobacco as tobacco dust raw materials
9	3	2	6	2.1	Inputs	Increase/rising cost of production inputs (fert/pest/gas/oil,	Higher cost of production inputs will reduce the margin of profit of tobacco farmers = low collection of prod. Asst.	Mitigate:	Early projection of input req'ts.; Bulk purchases by tobacco farmers to lock-in prices
9	3	2	6	4.1	Private Sector	Imposition of 1% withholding tax (ULPI) fr FC	Reduced gross sales = low income = low collection	Accept:	Inform affected tobacco farmers of the legal aspect of the imposition

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12	1	5	5	3.1	Farmer Cooperator	Death/Incapacity/ Transfer of residence of FC during project implementation	Low/non- collection of production assistance	Accept/ Transfer: Mitigate:	NTA take-over of project File appropriate case
13	1	4	4	2.2.1	Inputs	Non-certified seeds (Rice)	Results to poor germination, poor tillering, poor crop stand, reduced yield & income = low coll	Mitigate:	Provide certified seeds Conduct technology trainings
13	1	4	4	2.2.2	Inputs	Deteriorating seed quality/non-certified seeds (Tobacco)	Seeds becoming susceptible to pests and diseases = less prod.area and volume = reduced R&R fees	Mitigate:	Provide true-to-type certified seeds Provide hybrid seeds
13	1	4	4	2.3	Inputs	Supply and availability of fertilizers (Tobacco)	Delayed application of fertilizer/ delayed transplanting = stunted growth = low yield = low coll	Mitigate:	Early projection, bulk purchase by tobacco farmer and timely delivery of inputs